Guardbanding Using MET/CAL V7.10

MET/CAL V7.10 supports guardbanding. The simplest way to enable guardbanding is to add the necessary *VSET* statements at the top of the main procedure. The *VSET* parameters that allow guardbanding to be enabled and configured are described in detail below.

Specifying Guardbanding Parameters

In general, guardbanding-related parameters may be specified in several ways:

- You may specify a parameter using a *VSET* statement at any point in a procedure. The specification takes effect when the *VSET* statement is executed, and remains in effect until the parameter value is changed or reset. To make the specification to apply to the entire procedure, place the *VSET* statement before the first evaluation step 12/7/04in the main procedure.
- You may specify a parameter value using a procedure *TSET* statement. A *TSET* specification applies only to the individual test in which the *TSET* statement occurs.
- A parameter value may be specified in the MET/CAL initialization file (*metcal.ini*). An initialization file specification applies to all procedures executed on the workstation, unless overridden by a *VSET* or *TSET* statement.
- A parameter may be specified in a guardbanding initialization file. By placing the guardbanding initialization file on a network drive, you can configure a system where multiple workstations share the same guardbanding parameters. The guardbanding initialization file is described in more detail later in this document.

Some parameters do not support all of the specification methods listed above. Refer to the documentation below which describes each parameter in detail.

Precedence of Specification Methods

As described above, there are four distinct ways to specify a value for a guardbanding parameter. The four methods have the following precedence:

- 1. A *TSET* specification has the highest priority. *TSET* takes precedence over *VSET*, the MET/CAL initialization file, and the guardbanding initialization file.
- 2. A *VSET* specification has the second highest priority. *VSET* takes precedence over the MET/CAL initialization file and over the guardbanding initialization file.
- 3. Specifying the value of a guardbanding parameter in the MET/CAL initialization file has the third highest priority. An initialization file specification has precedence over a guardbanding initialization file specification, but not over a *TSET* or *VSET* specification.
- 4. The guardbanding initialization file has the lowest priority.

Guardbanding Parameters

• gb

The *gb* parameter is used to enable or disable guardbanding, and to specify the guardband method.

Legal values for *gb* are:

■ *mu*

Setting *gb* to *mu* selects the *measurement uncertainty* method. When this method is used, the guardband limits are determined by tightening the specification limits by the expanded measurement uncertainty. The guardband factor, *gbf*, may be used to tighten the limits by a specified fraction of the measurement uncertainty.

It is important to realize that when the guardband method is *mu* you must configure MET/CAL to calculate measurement uncertainty. If the measurement uncertainty calculation is not enabled setting *gb* to *mu* has no effect.

■ tsr

Setting *gb* to *tsr* selects the *test specification ratio* method. *tsr* is a table-based method where the calculated test specification

ratio is used as the lookup parameter when the guardband table is accessed.

The terminology *tsr* may be a bit confusing. The *tsr* is the value that MET/CAL has traditionally called the TUR (Test Uncertainty Ratio). The test specification ratio is the ratio of the specification of the UUT to the reference accuracy.

See also the description of the *guardband_table* parameter below. A *guardband_table* specification must be given whenever a table-based guardband method is specified.

■ tur

Setting *gb* to *tur* selects the *test uncertainty ratio* method. *tur* is a table-based method where the calculated test uncertainty ratio is used as the lookup parameter when the guardband table is accessed.

The *tur* used for this guardband method is the ratio of the specification of the UUT to the expanded measurement uncertainty. (This is not the same as the value MET/CAL has traditionally called the TUR. The TUR is really the test specification ratio (TSR), also commonly referred to as the test accuracy ratio (TAR).)

See also the description of the *guardband_table* parameter below. A *guardband_table* specification must be given whenever a table-based guardband method is specified.

■ ntur

Setting *gb* to *ntur* selects the *normalized test uncertainty ratio* method. *ntur* is a table-based method where the calculated normalized test uncertainty ratio is used as the lookup parameter when the guardband table is accessed.

The *ntur* used for this guardband method is the ratio of the normalized specification of the UUT to the standard measurement uncertainty. See also the description of the *uconf*

parameter. It may be necessary to specify a *uconf* value to correctly normalize the UUT specification.

See also the description of the *guardband_table* parameter below. A *guardband_table* specification must be given whenever a table-based guardband method is specified.

■ rds

Setting *gb* to *rds* selects the *root difference square* method. The *rds* method recalculates the test tolerance as:

 $\sqrt{tol^2 - emu^2}$

where *tol* is the UUT specification and *emu* is the expanded measurement uncertainty.

As with the *mu* method, it is important to realize that when the guardband method is *rds* you must configure MET/CAL to calculate measurement uncertainty. If the measurement uncertainty calculation is not enabled setting *gb* to *rds* has no effect.

When the guardband method is *rds*, guardbanding is automatically disabled for any test in which the expanded measurement uncertainty exceeds the UUT specification. (In other words, if *emu* is greater than *tol*, the *rds* method cannot be used.)

direct

Setting *gb* to *direct* selects the *direct guardband factor* specification method. When the method is *direct*, the procedure writer may directly specify the guardband factor to use for a particular test or set of tests. See the description of the *gbf* parameter used to set the guardband factor.

• gbf

The parameter *gbf* is used to specify the guardband factor. The guardband factor is used in different ways, depending on the guardband method.

If the guardband method is *mu*, the guardband factor is applied to the expanded measurement uncertainty.

For example, if you set *gbf* to 0.8, the guardband limits are determined by tightening the specification limits by 80% of the expanded measurement uncertainty.

When the guardband method is *mu*, the default guardband factor is 1.0.

If the guardband method is *direct*, the guardband limits are determined by directly applying the guardband factor to the specification limits.

For example, suppose a test is being done at 100 V, with a UUT specification of \pm 1%. In this example, the lower specification limit is 99 V and the upper specification limit is 101 V. If you set the guardband factor to 0.75, the lower guardband limit will be 99.25 V and the upper guardband limit will be 100.75 V.

The guardband factor must be specified when the guardband method is *direct*. If *gbf* is not specified no guardbanding is performed.

• gb_init

The parameter gb_init is used to specify the name of the guardbanding initialization file. gb_init is an optional initialization file parameter. gb_init may not be specified in a *TSET* or *VSET* procedure statement.

If specified, the value of the *gb_init* parameter is the name of a file containing values of one or more guardband parameters.

Parameters that may be specified in the guardbanding initialization file are:

• Guardband Method (*gb*)

- Guardband Factor (*gbf*)
- Guardband Mode (*gb_mode*)
- Guardband Result Mode (*gb_result*)
- Guardband Table (*gb_table*)
- UUT Confidence (*uconf*)

The purpose of the gb_init parameter is to allow multiple workstations at a site to share the same guardband parameter values. By placing the guardbanding initialization file on a network drive in a location accessible to all MET /CAL workstations, you can configure your system so that the only local guardband parameter data on each workstation is the gb_init specification in the MET/CAL initialization file (*metcal.ini*).

• gb_mode

The *gb_mode* parameter allows the table lookup method to be configured. There are two choices:

interp

Setting *gb_mode* to *interp* causes MET/CAL to linearly interpolate between rows in the guardband table.

step

Setting *gb_mode* to *step* causes MET/CAL to interpret the guardband table as a step function.

If the lookup value exactly matches a column 1 value in the in guardband table, the corresponding column 2 guardband table value is used as the guardband factor.

If the lookup value falls between two rows of the guardband table, the guardband factor value on the first row is used. The guardband table values are internally ordered so that the column 1 values are ascending. Thus, choosing the guardband factor value from the first row is equivalent to choosing the guardband factor value for the row containing the smaller lookup parameter value. The default value of *gb_mode* is *step*.

gb_mode has no effect unless a table-based guardband method (*tsr*, *tur*, or *ntur*) is used.

• gb_result

The *gb_result* parameter is used to configure the *guardband procedure result* mode. The guardband procedure result mode determines the overall result of a verification procedure in cases where the result of one or more individual tests is *indeterminate*. There are three choices:

• *f*

Setting *gb_result* to *f* indicates that *indeterminate* results are to be treated as *fail* results when the overall procedure result is determined.

• *pf*

Setting *gb_result* to *pf* indicates that *pass indeterminate* results are to be treated as *pass* results and *fail indeterminate* results are to be treated as *fail* results when the overall procedure result is determined.

• p

Setting *gb_result* to *p* indicates that *indeterminate* results are to be treated as *pass* results when the overall procedure result is determined.

When guardbanding is enabled, there are three possible outcomes for each individual verification test: *pass*, *fail*, or *indeterminate*.

To illustrate these concepts consider a test performed at 100 V, with a UUT specification of \pm 1%. The lower specification limit is 99 V and the upper specification limit is 101 V. When guardbanding is not enabled a UUT value between 99 V and 101 V will be considered a

pass. If the UUT value is less than 99 V or greater than 101 V, the test result will be *fail*.

Now suppose that guardbanding is enabled, and the guardband method is *mu*. Suppose further, as part of this example, that the calculated value of the expanded measurement uncertainty is 0.1 V.

The region within ± 0.1 V of each specification limit is now considered an *indeterminate* region. If the UUT value falls within an *indeterminate* region it not possible to state with certainty whether the test result is *pass* or *fail*.

Continuing with the example, if the UUT result is between 98.9 V and 99.1 V, or between 100.9 V and 101.1 V, the result is *indeterminate*.

Indeterminate results may be further divided into *pass indeterminate* and *fail indeterminate*. A *pass indeterminate* result is an *indeterminate* result that is still within the specification limit. A *fail indeterminate* result is an *indeterminate* result that is not within the specification limit.

Continuing with the example, if the UUT value is 99.05 V, the test result is *pass indeterminate* because 99.05 V is within the specification limit (99 V), but still within the *indeterminate* region (98.9 V to 99.1 V). On the other hand, if the UUT value is 98.95 V the result is *fail indeterminate* because 98.95 is below the lower specification limit (99 V), but still within the indeterminate region (98.9 V to 99.1 V).

With this explanation of *indeterminate*, *pass indeterminate*, and *fail indeterminate* in mind it is easier to understand the purpose of the *gb_result* parameter.

When *gb_result* is *p* (pass), all *indeterminate* results are regarded as *pass* results when the overall procedure result is determined. For example, suppose you run a procedure that contains 10 individual verification tests. If five test results are *pass* and five test results are *indeterminate* the overall procedure result will be *pass*.

Conversely, when gb_result is f (fail), all *indeterminate* results are regarded as *fail* results when the overall procedure result is determined. For example, suppose you run a procedure that contains 10 individual verification tests. If five test results are *pass* and five test results are *indeterminate* the overall procedure result will be *fail*.

Setting *gb_result* to *pf* (pass/fail) means that *pass indeterminate* results are taken to be *pass* results for the purpose of determining the overall procedure result and *fail indeterminate* results are taken to be *fail* results for the purpose of determining the overall procedure result. In other words, setting *gb_result* to *pf* is equivalent to instructing MET/CAL to use the specification limits, not the guardband limits, for purposes of determining the overall procedure result. When *gb_*result is *pf*, you will still be able to determine whether the result of an individual test is *indeterminate*, but the overall procedure result will be just as it would have been without guardbanding.

The *gb*_result default value of is *pf*.

• gb_table

The parameter gb_table is used to specify the name of the guardband table. The guardband table is required when the guardband method (parameter gb) is set to tsr, tur, or ntur. The guardband table is not used with the other guardband methods.

gb_table may be specified in the MET/CAL initialization file (*metcal.ini*) or in the guardbanding initialization file. (Refer to the section on the *gb_init* parameter for a description of the guardbanding initialization file.)

It is not possible to specify the *gb_table* parameter in a *VSET* or *TSET* procedure statement.

The guardband table is a simple text file consisting of two columns. The first column is the lookup parameter value. The second column is the associated guardband factor.

Comment lines may be included in the guardband table file. Any line which begins with a '#' or a ';' is a comment line.

Here is a simple example that illustrates how to create a guardband table file. Suppose you would like to apply an 80% guardband if the test specification ratio (TSR) is between 3 and 4, and a 60% guardband if the TSR is between 2 and 3. To accomplish this you would create a guardband table file like this:

#TSR GBF 2.0 0.6 3.0 0.8 4.0 1.0

Spaces and tabs are ignored. You may use any text editor (*WordPad*, *Notepad*, etc.) to create the file.

Any name may be used for the file. For example, you could create three different files, *GuardbandTable1.txt*, *GuardbandTable2.txt*, and *GuardbandTable3.txt*, and place them in a directory of your choosing. To switch between them it is necessary to modify the value of the *gb_table* parameter in the MET/CAL initialization file (*metcal.ini*), or, if you are using a guardbanding initialization file, modify the value of the *gb_table* parameter in the guardbanding initialization file.

The same guardband table file can be used with different guardband methods. The first column in the example above is labeled "TSR", but that's just a comment. If you change the guardband method to *tur*, for example, then the first column specifies the lookup values for the test uncertainty ratio (TUR), not the test specification ratio (TSR).

If the value of the lookup parameter is greater than the column one value in the last row of the guardband table, MET/CAL uses the *GBF* given in the last row. For example, using the sample table shown above, if the *TSR* is 20.0, the *GBF* will be 1.0, because 1.0 is the *GBF* value (i.e., the column 2 value) specified on the last row of the table. If the value of the lookup parameter is less than the column one value in the first row of the guardband table, MET/CAL uses the *GBF* given in the first row. For example, again using the sample table shown above, if the TSR is 1.0, the *GBF* will be 0.6, because 0.6 is the *GBF* value from the first row. It is important for the metrologist and/or procedure writer to carefully consider what should happen when the

lookup parameter (*TSR*, *TUR*, or *NTUR*) is a small value. Either the guardband table should contain rows at the beginning to cover those cases, or procedures should be carefully reviewed to ensure that such cases (e.g., very low *TURs*) do not occur.

• uconf

The *uconf* parameter is used to specify the confidence associated with the UUT's specification. *uconf* is used only when the guardband method is *ntur* (normalized test uncertainty ratio). The specified *uconf* value is used to normalize the UUT specification to a 1-sigma value (standard uncertainty). In MET/CAL V7.10 the *uconf* parameter has no other use than this. (It is not used in the measurement uncertainty calculation.)

Legal values for *uconf* are:

- 68.27%
 1s
 1sigma
- 90%
 90.0%
 90.00%
- 95%
 95.0%
 95.00%
- 95.45% 2s
 - 2sigma
- 99%
 99.0%
 99.00%
- 99.73%
 3s
 3sigma

The values within each of the bullets above are equivalent forms.

In some cases it may be difficult to determine the confidence associated with the UUT's specification. MET/CAL uses a default value of 2s (i.e., 2σ).

Post Test Window

When guardbanding is enabled MET/CAL's *Post Test* window appends the annotation (*GB*) after a *Pass* or *Fail* test result indicator on the first line of the window. If the result of the test is *Pass Indeterminate* MET/CAL displays *Pass Indeterm* on the first line. In this case it is not necessary to append the (*GB*) annotation because *Pass Indeterm* by itself is sufficient to indicate to the operator that guardbanding is in effect. Similarly, when the result is *Fail Indeterminate* MET/CAL displays *Fail Indeterm* on the first line of the *Post Test* window.

Test Results Window

The MET/CAL Test Results window indicates *Pass Indeterminate* and *Fail Indeterminate* results by using a different color.

When guardbanding is enabled, *Marginal Pass* results are no longer distinguished from other results.

The following table shows the color indications in the Test Results window when guardbanding is enabled:

| RESULT | COLOR |
|--------------------|-------------|
| Pass | Green |
| Indeterminate Pass | Light Green |
| Indeterminate Fail | Light Red |
| Fail | Red |
| RSLT & Brace Text | Cyan |

When guardbanding is not enabled the color mapping is as follows:

| RESULT | COLOR |
|-------------------|--------|
| Pass | Green |
| Marginal Pass | Yellow |
| Fail | Red |
| RSLT & Brace Text | Cyan |

Terminology: TSR, TUR, TAR

Since its inception in 1988 MET/CAL has used the term *Test Uncertainty Ratio* (*TUR*) to refer to the ratio:

UUT Specification Reference Accuracy

However, this ratio does not, strictly speaking, involve the total measurement uncertainty. The measurement uncertainty includes the reference accuracy as a component, but typically includes other uncertainty components as well. In many cases, the measurement uncertainty includes an uncertainty component based on the standard deviation of a sequence of measurement values, and also includes a component based on the resolution of the UUT. The on-line *VSET* help file describes MET/CAL's measurement uncertainty calculation in detail.

Therefore, except for the fact that the ratio shown above has traditionally been referred to as the *TUR*, it would be better to refer to the ratio above as the *Test Specification Ratio* (*TSR*). Other vendors and writers have often used the term *Test Accuracy Ratio* (*TAR*) to refer to the Test Specification Ratio.

This terminology confusion has become more troublesome with the introduction of guardbanding support, because MET/CAL now supports guardband methods based both on the *Test Specification Ratio* as well as on the actual *Test Uncertainty Ratio*.

The terminology used in this document, and reflected in the values allowed for the *gb* parameter, therefore differs from the terminology used other places in MET/CAL. Outside of guardbanding, when MET/CAL uses the term *TUR* it refers to the *Test Specification Ration (TSR)*. In the guardbanding documentation and parameter values, however, *TSR* is used for *Test Specification Ratio*, and *TUR* refers to the ratio:

UUT Specification Expanded Measurment Uncertainty

Similarly, the Normalized Test Uncertainty Ratio (NTUR) refers to the ratio:

Normalized UUT Specification Normalized Measurement Uncertainty

Is Guardbanding Enabled?

In some cases it may be difficult to determine whether guardbanding is enabled for a particular test or set of tests. The reason that guardbanding may sometimes not be enabled even though the procedure writer intended to enable it, is that it is possible to enable guardbanding, but fail to provide all the required information.

An example of failing to provide required information would be to choose the *Measurement Uncertainty* guardband method (i.e., set *gb* to *mu*), but then fail to enable the measurement uncertainty calculation by setting *nmeas* to a value greater than zero.

The best way to determine if a test has been guardbanded is to look at the data in the database *Results Table*. If the row corresponding to the test in question includes values for the *Guardband Method*, and the guardbanded lower and upper test limits, then guardbanding was enabled when the test was run. The *Results Table* column headers are *guardband_meth*, *guardband_ll*, and *guardband_ul*, respectively.

The *Post Test* window also indicates whether guardbanding is enabled. (However, many procedures are written so that the *Post Test* window is not shown when the test result is *Pass*, or, in some cases, not shown at all.) It is also important to be aware that *Post Test* will indicate that guardbanding is in effect even when the guardband factor (*GBF*) is exactly 1.0. Technically, guardbanding is, in fact, in effect, but the guardband limits are the same as the specification limits. (This can be seen by looking at the data in the *Results Table*.)

The *Test Results* window also indicates guardbanded tests when the test result is *Indeterminate Pass* or *Indeterminate Fail*. However, when the test result is *Pass* or *Fail* the *Test Results* window does not indicate whether guardbanding is in effect for the test.

UUT Test Tolerances in the Procedure

MET/CAL V7.10 is designed to allow guardbanding to be enabled without any, or very little, modification of the MET/CAL procedure source code. The UUT test tolerances entered into the procedure remain the standard specification limits defined by the UUT's verification procedure.

The guardbanded test limits cannot be determined by examining the procedure source files. The guardbanded limits are shown in the result data generated by procedure execution.

Changing Guardbanding Parameters During Procedure Execution

As with all *VSET* parameters, the MET/CAL procedure language allows the procedure writer to modify the value of a parameter at any point during procedure execution.

One may, for example, change the guardband method during the course of procedure execution by changing the value of the *gb* parameter.

This capability should be used with care, however. In general, the best policy is to choose a specific guardbanding approach, and apply it consistently.

If your policy is to guardband tests only when the TSR falls below a specified threshold, that can be accomplished by setting up a suitable guardband table, where the guardband factor is 1.0 for all tests with a TSR above the threshold. It is not necessary to specifically enable and disable guardbanding on a per-test basis within the procedure.

One parameter that you should generally not change in mid-stream during procedure execution is *gb_result*. *gb_result* controls how MET/CAL determines the overall procedure result, based on the mix of individual test results (some *Pass*, some *Indeterminate*, and some *Fail*). As an example of

what not to do, consider what would happen if, for the first half of a procedure, you set *gb_result* to *p*, and then, for the second half of the procedure, you changed *gb_result* to *f*. If you did that you would be telling MET/CAL to handle *indeterminate* results in the first half of the procedure as *Pass* results, but to handle *indeterminate* results in the second half of the procedure as *Fail* results. This is not illegal, and MET/CAL will do exactly what it was instructed to do, but it is probably difficult to justify this from a metrological point of view.

Results Table

The database *Results Table* contains three columns that store guardbanding data.

• Guardbanded Lower Limit

The *Guardbanded Lower Limit* is the lower limit of the test based on the specified guardband method.

The column header in the database table is *guardband_ll*.

• Guardbanded Upper Limit

The *Guardbanded Upper Limit* is the upper limit of the test based on the specified guardband method.

The column header in the database table is *guardband_ul*.

• Guardband Method

The *Guardband Method* is a string field, which indicates the specified method. If the field is blank, guardbanding was not used for the test. Otherwise, the field indicates the method using the same string values used for the gb parameter:

| Column Value | Guardband Method |
|--------------|-----------------------------------|
| direct | Direct |
| ти | Measurement Uncertainty |
| ntur | Normalized Test Uncertainty Ratio |
| rds | Root Difference Square |
| tsr | Test Specification Ratio |
| tur | Test Uncertainty Ratio |

In addition to the three columns described above, the *Test Status* result value also indicates, for some tests, that guardbanding was in effect. The *Results Table* column header for this result quantity is *test_status*. *Test Status* is a string field, which will be one of the values: *Pass, Pass Indeterminate, Fail,* or *Fail Indeterminate*.

Legacy Results

Guardbanding does not affect "legacy results". Thus, there is no indication in the *CalResults Table* in the database of whether guardbanding was in effect, or of whether a particular test result was *Pass Indeterminate* or *Fail Indeterminate*.

Example

This example illustrates how to write a procedure in which the *rds* guardband method is used.

Consider the following procedure:

```
FlukeMET/CAL ProcedureINSTRUMENT:guardbanding rds testDATE:December 07, 2004AUTHOR:FlukeREVISION:ADJUSTMENT THRESHOLD:
```

| =============== | ====== |
|-----------------|--------|
| MOD2 3 | 4 CON |
| | |
| | |
| .0002U | 2W |
| | |

The VSET statement (1.002) that sets *gb* to *rds* establishes the guardband method. Since the *rds* method depends on the measurement uncertainty calculation, the VSET statement also assigns a value greater than zero to the *nmeas* parameter. This turns on the measurement uncertainty calculation. Notice that the ASK FSC 'K' flag is set. For stimulus-type FSCs (like 5500) the 'K' flag must be set when measurement uncertainty is enabled.

To try this example, use the *Run Time* application to execute the procedure shown above. Run the procedure in *demo mode*. It's not necessary to have an actual Fluke 5500A, although you will have to make sure that a 5500A is configured on the workstation. (Choose a UUT asset number reserved for testing.)

Since *nmeas* is set to 2, MET/CAL will prompt twice for the UUT readings. Enter "1" in response to both prompts. When the *Post Run* window appears save the results and close the *Run Time* application.

Next, use MET/TRACK to view the *Results Table* data for the sample calibration performed above. The table should contain data values like these:

| expanded uncertainty | = | 3.4883721E-5 V |
|-----------------------|---|----------------|
| lower limit | = | 0.9998 V |
| guardband lower limit | = | 0.999803066 V |
| guardband upper limit | = | 1.000196934 V |
| upper limit | = | 1.0002 V |

To understand these numbers, recall that using the *rds* method entails calculating the guardbanded test tolerance as:

$$\sqrt{tol^2 - emu^2}$$

where *tol* is the UUT specification and *emu* is the expanded measurement uncertainty.

Plugging the numbers shown above into this formula produces:

 $\sqrt{0.0002^2 - 0.00004883721^2}$

 $= \sqrt{0.0000004 - 0.0000000121687399080}$

= 0.00019693431902337388

In other words, the original UUT test specification is $\pm 200 \,\mu$ V.

With guardbanding in effect, using the RDS method, the test tolerance is tightened to approximately $\pm 196 \,\mu$ V.

(The expanded uncertainty value used in this example is based on the 90-day specs of the 5500A. If you use the 1-year specs (for example), the calculated value of the expanded uncertainty may change, and it will be necessary to adjust the numbers in this example accordingly.)